

# SUBMISSION COVER SHEET

**IMPORTANT – Confidential Treatment is requested**

Organization Name: trueEX LLC

Organization Type: SEF

Registered Entity Identifier: 2016-04S

Submission Number: 1608-1620-5754-88

Submission Date: 08/16/16 08:57:54 PM

Submission Type: Rule - 40.6(a) Rule Certification

Submission Description: self certification of fees on the SEF Trading System

Rule Numbers: trueEX Rule 309

August 16, 2016

Christopher J. Kirkpatrick  
Secretary of the Commission  
Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, N.W.  
Washington, D.C. 20581

**RE: trueEX LLC Exchange Fees Submission (trueEX LLC submission #2016-04S)**

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended, (the "Act") and Commission Regulation 40.6(a), trueEX LLC ("trueEX" or the "Exchange") hereby submits to the U.S. Commodity Futures Trading Commission (the "Commission") notification of fees to be charged by trueEX for activity on its swap execution facility ("SEF"). Exhibit A replaces any prior fee schedules filed by trueEX for its SEF Trading System. The intended effective date of this submission is August 30, 2016. trueEX is requesting confidential treatment of the attached Exhibit A.

trueEX Rule 309 permits trueEX to set the amounts and times of payment for any dues, assessments or fees. trueEX certifies that notification complies with trueEX Rule 309, and with SEF Core Principle 2 and CFTC Regulation 37.202(a)(3) regarding impartial access requirements.

There were no substantive opposing views expressed by the trueEX governing board or market participants regarding the assessment of fees. trueEX certifies that this certification has been concurrently posted on the Exchange's Web Site at <http://www.trueex.com/rules-and-notices>.

If you have questions regarding this notice, please contact me at (646) 787-8705 or by email at [fran@trueex.com](mailto:fran@trueex.com).

Sincerely,



Fran Kenck  
Chief Compliance Officer

**EXHIBIT A**

**trueEX SEF Pricing**

**Confidential Treatment Requested by trueEX LLC  
Pursuant to 17 CFR 145.9**